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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on October 31, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL896840607US, addressed to the Commissioner for Patents, Washington, DC 20231.

  
Diane Schwanbeck

Attorney Docket No. LAM2P298

First Named Inventor: BRALY, Linda



25920  
PATENT TRADEMARK OFFICE

10971 U.S. PTO  
10/002676  
10/31/01

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:  
**Linda Braly, Vahid Vahedi, Erik Edelberg and Alan Miller**

For: METHOD FOR PLANARIZATION ETCH WITH IN-SITU MONITORING BY  
INTERFEROMETRY PRIOR TO RECESS ETCH

Application Elements:

- 28 Pages of Specification, Claims and Abstract  
 13 Sheets of Drawings (Informal)  
 03 Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- Assignment and Assignment Recordation Cover Sheet (recording fee  
of \$40.00 enclosed)  
 37 CFR 3.73(b) Statement by Assignee  
 Information Disclosure Statement with Form PTO-1449  
 Copies of IDS Citations  
 Preliminary Amendment  
 Return Receipt Postcard  
 Request and Certification under 35 U.S.C. § 122(b)(2)(b)(i)  
 Other:

Fee Calculation (37 CFR § 1.16)

	(Col. 1)	(Col. 2)	SMALL ENTITY	OR	LARGE ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEES	RATE	FEES
BASIC FEE			\$370	\$	OR	\$740 \$740
TOTAL CLAIMS	24	-20 = 04	x09=	\$	OR	x18 = \$ 72
INDEP CLAIMS	03	-03 = 00	x42 =	\$	OR	x84 = \$
[ ] Multiple Dependent Claim Presented			\$140 =	\$	OR	\$280 = \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR	Total <u>\$812.00</u>

- Check No. 6024 in the amount of **\$852.00** is enclosed.
- The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. LAM2P298).

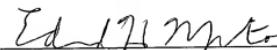
General Authorization for Petition for Extension of Time (37 CFR §1.136)

Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. LAM2P298).

- Please send correspondence to the following address:

**Edmund H. Mizumoto, Esq.**  
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**Customer No. 25920**

10002676  
Date: October 31, 2001

  
Edmund H. Mizumoto, Esq.  
Registration No. 46,938

## PATENT

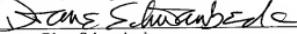
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<u>In re</u> the application of	)	
	)	Examiner: Unassigned
Linda BRALY et al.	)	
	)	Art Unit: Unassigned
Application No. [To be assigned]	)	
	)	Docket No. LAM2P298
Filed: October 31, 2001	)	
	)	Date: October 31, 2001
For: METHOD FOR PLANARIZATION	)	
ETCH WITH IN-SITU MONITORING	)	
BY INTERFEROMETRY PRIOR	)	
TO RECESS ETCH	)	
	)	

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Signed:   
Diane Schwanbeck

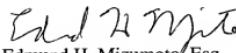
REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,  
MARTINE & PENILLA, LLP

  
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Reg. No. 46,938

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